



364.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application No.	09/437,590
		Filing Date	November 9, 1999
		First Named Inventor	Brant L. Candelore
		Art Unit	3621
		Examiner Name	John M. Winter
Total Number of Pages in This Submission	7	Attorney Docket Number	80398P217

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  <div style="border: 1px solid black; padding: 5px; height: 40px; width: 100%;">3 prior art references</div>	<input type="checkbox"/> Remarks

Prior art references not included in total page count.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	William W. Schaal, Reg. No. 39,018  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	December 7, 2006

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Susan McFarlane		
Signature		Date	December 7, 2006

Based on PTO/SB/21 (09-04) as modified by Blakely, Sokoloff, Taylor & Zafman (nbc) 10/12/2006.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Docket No.: 80398P217D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

BRANT L. CANDELORE

Application No.: 10/209,341

Filed: July 30, 2002

For: **Method for simulcrypting Scrambled Data  
to a Plurality of Conditional Access Devices**

Art Group: 3621

Examiner: John M. Winter

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

12/12/2006 H6UTEMA1 00000012 10209341

01 FC:1806

180.00 0P

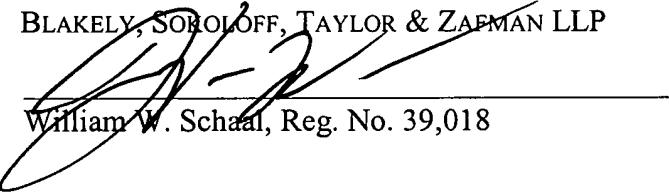
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

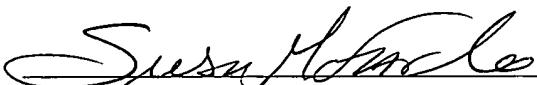
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: September 19, 2006

  
William W. Schaal, Reg. No. 39,018

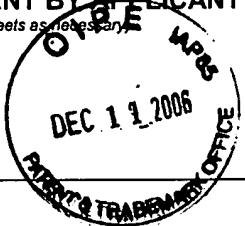
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Susan McFarlane 09-19-06  
Date

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)



Sheet 1 of 2

*Complete if Known*

<b>Application Number</b>	10/209,341
<b>Filing Date</b>	July 30, 2002
<b>First Named Inventor</b>	Candelore, Brant
<b>Group Art Unit</b>	3621
<b>Examiner Name</b>	Winter, John

Attorney Docket No: 80398P217D

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-2001/0042043	11/15/2001	Shear, Victor H., et al.			05/15/1997
	US-20020026587	02/28/2002	Talstra, Johan C., et al.			05/10/2001
	US-20020047915	04/25/2002	Misu, Katsuya			04/19/2001
	US-20020097322	07/25/2002	Monroe, David A., et al.			11/29/2000
	US-20020184506	12/05/2002	Perlman, Stephan G.			05/30/2001
	US-20030009669	01/09/2003	White, Mark A., et al.			03/06/2001
	US-20030072555	04/17/2003	Yap, Adrian , et al.			10/12/2001
	US-20030084284	05/01/2003	Ando, Satoshi , et al.			10/22/2002
	US-20030188164	10/02/2003	Okimoto, John I., et al.			03/27/2002
	US-20030204717	10/30/2003	Kuehnel, Thomas			07/29/2002
	US-2004/0111613	06/10/2004	Shen-Orr, Chaim , et al.			02/21/2002
	US-2005/0004875	01/06/2005	Kontio, Markku , et al.			03/12/2002
	US-2005/0169473	08/04/2005	Candelore, Brant L.			10/13/2004
	US-5,144,664	09/01/1992	Esserman, James N., et al.			11/16/1990
	US-5,237,610	08/17/1993	Gammie, Keith , et al.			03/29/1991
	US-5,319,707	06/07/1994	Wasilewski, Anthony J., et al.			11/02/1992
	US-5,444,491	08/22/1995	Lim, Jae S.			12/06/1994
	US-5,491,748	02/13/1996	Auld, Jr., Frederick H., et al.			03/01/1994
	US-5,583,863	12/10/1996	Darr, Jr., John W., et al.			01/31/1995
	US-5,590,202	12/31/1996	Bestler, Caitlin B., et al.			01/18/1995
	US-5,699,429	12/16/1997	Tamer, Gregory G., et al.			03/19/1997
	US-5,923,755	07/13/1999	Birch, Christopher H.			07/18/1997

**EXAMINER**

**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<i>Complete if Known</i>	
		<b>Application Number</b>	10/209,341
		<b>Filing Date</b>	July 30, 2002
		<b>First Named Inventor</b>	Candelore, Brant
		<b>Group Art Unit</b>	3621
		<b>Examiner Name</b>	Winter, John
Sheet 2 of 2		Attorney Docket No: 80398P217D	

	US-5,930,361	07/27/1999	Hayashi, Michael T., et al.			12/31/1996
	US-6,026,164	02/15/2000	Sakamoto, Noriya , et al.			12/26/1995
	US-6,108,422	08/22/2000	Newby, Charles F., et al.			06/24/1998
	US-6,115,821	09/05/2000	Newby, Charle F., et al.			06/24/1998
	US-6,236,727	05/22/2001	Ciacelli, Mark L., et al.			06/24/1997
	US-6,324,288	11/27/2001	Hoffman, Jeffery D.			05/17/1999
	US-6,445,738	09/03/2002	Zdepski, Joel , et al.			04/25/1996
	US-6,453,115	09/17/2002	Boyle, William B.			08/31/2000
	US-6,550,008	04/15/2003	Zhang, Minda , et al.			02/26/1999
	US-6,650,754	11/18/2003	Akiyama, Koichiro , et al.			07/29/2002
	US-6,754,276	06/22/2004	Harumoto, Hideaki , et al.			09/20/2000

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
	WO 01/65762 A2	09/07/2001	Platt, David C., et al.			
	WO 86/07224	12/04/1986	Lucas, Keith			

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"How Networks Work, Millennium Edition", Que Corporation, (9/2000),88-89	

**EXAMINER****DATE CONSIDERED**



# FEE TRANSMITTAL for FY 2005

Constant fees are subject to annual revision.

Small entity claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT**      **(\\$)**      **180.00**

#### Complete if Known

Application Number	10/209,341
Filing Date	July 30, 2002
First Named Inventor	Brant L. Candelore
Examiner Name	John M. Winter
Art Unit	3621
Attorney Docket No.	80398P217D

#### METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayment of fee(s)  Credit any overpayments  
 under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

#### FEE CALCULATION

##### 1. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	31	48*	0	\$0.00
Independent Claims	10	10*	0	\$0.00
Multiple Dependent				

Large Entity		Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	50	2202	25	Claims in excess of 20
1201	200	2201	100	Independent claims in excess of 3
1203	360	2203	180	Multiple Dependent claim, if not paid
1204	790	2204	395	**Reissue independent claims over original patent
1205	300	2205	150	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (1)		(\$)	0.00	

\*\*or number previously paid, if greater, For Reissues, see below

##### 2. ADDITIONAL FEES

Large Entity      Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.
2053	130	2053	130	Non-English specification
1251	120	2251	60	Extension for reply within first month
1252	450	2252	225	Extension for reply within second month
1253	1,020	2253	510	Extension for reply within third month
1254	1,590	2254	795	Extension for reply within fourth month
1255	2,160	2255	1,080	Extension for reply within fifth month
1401	500	2401	250	Notice of Appeal
1402	500	2402	250	Filing a brief in support of an appeal
1403	1,000	2403	500	Request for oral hearing
1451	1,510	2451	1,510	Petition to institute a public use proceeding
1460	130	2460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))

Fee Paid

Other fee (specify)

SUBTOTAL (2)

(\$)

180.00

Complete (if applicable)

Name (Print/Type)	William W. Schaal	Registration No. (Attorney/Agent)	39,018	Telephone	(714) 557-3800
Signature				Date	09/19/06